

Corporate Account Opening Form

公司開戶表格

創市証券有限公司 VMI Securities Limited	
SFC Licensed Corporation 證監會核准持牌法團(CE#: BIG265)	
帳戶名稱 A/C Name	
帳戶號碼 A/C No.	環球股票帳號 Global Stock A/C No.
處理經紀 Process AE	經紀代號 AE Code
A. 客戶資料 Client's Information	
公司性質 (即私人/公共有限公司) Nature of Entity (i.e. private or public limited company)	業務性質 Nature of Business
公司名稱	
Company Name	
註冊證書號碼 C.I. No.	香港商業登記證號碼 B.R. No. in HK
註冊日期 Date of Incorporation	註冊地點 Place of Birth
密碼送遞(如適用) Password Delivery (if applicable): <input type="checkbox"/> 親自到取 In person <input type="checkbox"/> 總行 HQ <input type="checkbox"/> 電郵 By email	
客戶選擇之服務(以' '號選擇 Please tick to choose) Client Choice of Service(s)	
交易帳戶 Trading Account(s)	<input type="checkbox"/> 現金託管帳戶(港股) Custodian A/C-HK Stock <input type="checkbox"/> 保證金託帳戶(港股) HK Stock Only <input type="checkbox"/> 保證金帳戶(環球股票) Margin A/C - Global Stock
電子交易服務 Electronic	<input type="checkbox"/> 港股 HK Stock <input type="checkbox"/> 環球股票 Global Stock

本人代表名稱列於表格之公司，現向創市證券有限公司請開立上列服務，共_____項。

I, on behalf of the above named company, hereby apply to set up with VMI Securities Limited the above____service(s)

B. 客戶其他資料 Client's Other Information

1. 聯絡資料 Contact Information

註冊地址 Register Address

主要辦事處地址 Principal Business Address

辦事處電話 Office No.

傳真號碼 Fax No.

以電郵收取研究報
Subscribe Research Report by email

電郵地址 E-mail Address:

證券帳戶日結單送遞至 Stock A/C Daily Statements to :

電郵地址 Email Address 家居地址 Home Address 以下地址 Address Below

證券帳戶月結單送遞至 Stock A/C Monthly Statements to:

電郵地址 Email Address 家居地址 Home Address 以下地址 Address Below

聯絡地址 Correspondence Address:

與現有帳戶相同 same as existing account (已持有創市帳戶者適用 Applicable for those having VMI account

室 / 樓 / 座 / 期 Flat / Floor / Block / Phase

大廈 / 屋苑 Building / Estate

街道號數 / 街道 / 地段 Street No. / Street / Lot

地區 / 國家 District / Country

香港 HK 九龍 KLN 新界 / 離島 NT / Outlying Island

* 帳戶日結單與月結單的郵寄地址必須相同

Mailing address of the daily statement and monthly statement should be the same

帳戶結單語言選擇 Language of the statement:

繁體中文 Traditional Chinese 簡體中文 Simplified Chinese 英文 English

^ 客戶不同意貴集團使用其個人資料經以下渠道作直接促銷 I do not accept the Group to use my personal data in direct marketing via the following channel(s) :

電郵 Email 手機訊息 Mobile Message 郵件 Mail 電話 Phone Call

2. 銀行戶口資料 Bank Account Record(只供入數之用 For Fund Deposit Only)

與現有帳戶相同 **same as existing account** (已持有創市帳戶者適用 **Applicable for those having VMI account**)

銀行名稱(港元): _____ 戶口號碼(港元): _____

Bank Name(HKD) _____ Account No(HKD) _____

銀行名稱(人民幣): _____ 戶口號碼(人民幣): _____

Bank Name(RMB) _____ Account No(RMB) _____

銀行名稱(美元): _____ 戶口號碼(美元): _____

Bank Name(USD) _____ Account No(USD) _____

如屬創市聯名帳戶，支票付予 **Payable to**

聯名 **Joint Name** 第一戶口持有人 **1st A/C Holder** 第二戶口持有人 **2nd A/C Holder in case of Joint A/C**

3. 董事資料 Director(s) Information**4. 股東資料 Shareholder(s) Information**

全部董事姓名 Name(s) of All Director(s)	身份證號 / 護照號碼 I.D.Card No./Passport No.	主要股東 Major Shareholders	身份證號/護照號碼 I.D. Card No./ Passport No.	持股比例 Shareholding Percentage %
1.		1.		
2.		2.		
3.		3.		

5. 授權操作賬戶人資料 Authorised Perso(s) Information

授權人簽署 Authorised Person Signature	1.	2.	3.	4.
姓名 Name				
身份證號 ID No.				
聯絡電話 Contact No.				

簽署指示 Signing Instruction: 單簽 **Anyone can sign singly** 任何兩人同簽 **Any two must sign jointly**
 其他 **Others :** _____

若空格不敷使用，請另附紙填寫，並予以簽署。 **Please attach extra sheets and sign if necessary.**

C. 客戶財政資料 Client Financial Information	
法定股本 Authorised Capital: 淨資產值 Net Asset Value 根據最近的審計賬目 Based on Latest audited accounts at _____ 年度 <input type="checkbox"/> <HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 – HK\$5,000,000 <input type="checkbox"/> HK\$5,000,001 – HK\$10,000,000 <input type="checkbox"/> > HK\$10,000,000	發行股本 Paid-up Capital: 除稅後盈利 Profit After Tax 根據最近的審計賬目 Based on Latest audited accounts at _____ 年度 <input type="checkbox"/> <HK\$500,000 <input type="checkbox"/> HK\$500,001 – HK\$1,000,000 <input type="checkbox"/> > HK\$1,000,000 <input type="checkbox"/> 虧損，數額為：HK\$ _____
資產項目 Asset Items <input type="checkbox"/> 房產 Property <input type="checkbox"/> 存款 Deposits <input type="checkbox"/> 證券 Listed Securities <input type="checkbox"/> 債券 / 基金 Bonds / Funds <input type="checkbox"/> 其他 Others: _____	估計資產淨值 Net Asset Worth <input type="checkbox"/> <HK\$500,000 <input type="checkbox"/> HK\$500,000 – HK\$1M <input type="checkbox"/> HK\$1M – HK\$3M <input type="checkbox"/> HK\$3M – HK\$5M <input type="checkbox"/> HK\$5M – HK\$10M <input type="checkbox"/> HK\$10M – HK\$50M <input type="checkbox"/> >HK\$50M
D. 客戶投資經驗及目標 Client Investment Experience and Objective	
投資經驗 Investment Experience <input type="checkbox"/> 少於 1 年 Less than a year <input type="checkbox"/> 1-5 年 years <input type="checkbox"/> 6-10 年 years <input type="checkbox"/> 10 年以上 More than 10 years <input type="checkbox"/> 沒有 Nil	估計投資金額 Estimated Investment Amount <input type="checkbox"/> <HK\$100,000 <input type="checkbox"/> HK\$100,000 – HK\$500,000 <input type="checkbox"/> HK\$500,001 – HK\$1M <input type="checkbox"/> HK\$1M – HK\$5M <input type="checkbox"/> HK\$5M – HK\$10M <input type="checkbox"/> >HK\$10M
曾投資的產品 Experienced Products <input type="checkbox"/> 證券 Stocks <input type="checkbox"/> 認股權證 Warrants <input type="checkbox"/> 期貨 / 期權 Futures/Options <input type="checkbox"/> 外匯 / 黃金 Forex/Bullion <input type="checkbox"/> 債券 Bonds <input type="checkbox"/> 基金 Funds 其他 Others: _____	投資目標 Investment Objectives <input type="checkbox"/> 短線 Short Term <input type="checkbox"/> 中線 Medium Term <input type="checkbox"/> 長線 Long Term <input type="checkbox"/> 資本增值 Capital Appreciation <input type="checkbox"/> 股息回報 Dividend Yield <input type="checkbox"/> 對沖 Hedging <input type="checkbox"/> 投機 Speculation 其他 Others: _____

E. 客戶聲明 Declaration by Client	
<p>客戶是否為證券及期貨事務監察委員會定義之持牌法團或註冊機構？ Is client a licensed corporation registered with the Securities and Futures Commission or registered institution under the Banking Ordinance?</p> <p>如是，中央編號為 If yes, CE No. is _____</p>	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
<p>客戶之任何董事、股東或授權人士是否創市集團的客戶？ Is an director, shareholder or authorized person of the client a client of VMI Group ?</p> <p>如是 If yes, 賬戶號碼 Account No. is _____</p> <p>賬戶名稱 Account Name is _____</p>	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
<p>客戶之任何董事、股東或授權人士是否是創市集團職員？ Does any director, shareholder or authorized person of the client a staff of VMI Group ?</p> <p>如是 If yes,</p> <p>職員名稱 Name _____</p> <p>關係 Relationship _____</p>	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
<p>客戶之任何董事、股東或授權人士與創市集團職員是否有親戚關係？ Does any director, shareholder or authorized person of the client have any relationship with any employee of VMI Group?</p> <p>如是 If yes,</p> <p>職員名稱 Name _____</p> <p>關係 Relationship _____</p>	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No

<p>客戶之任何董事、股東或授權人士是否為香港聯合交易所參與者或者任何根據證券及期貨條例註冊持牌法團或銀行業條例之註冊機構之僱員（不管閣下現時是否為證監會持牌代表/金管局註冊人士）？</p> <p>Is any director, shareholder or authorized person of the client an employee of any participant of the Stock Exchange of Hong Kong, a licensed corporation under the Securities and Futures Ordinance, or a registered institution under the Banking Ordinance (whether you are currently a SFC licensed representative /HKMA registered person or not)?</p> <p>如是 If yes, 持牌法團/註冊機構名稱 Licensed Corporation/Registered Institution Name_____</p> <p>職位 Position_____</p> <p>中央編號 CE No.(Employer 僱主)_____/ (Employee 僱員)_____ (If applicable 如適用)</p> <p>(必須出示所屬持牌法團或註冊機構之授權信 You must provide written approval from your accredited licensed corporation or registered institution)</p>	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
<p>客戶是否以中介人身份操作帳戶？ Are you acting as an intermediary for the account? 如是，戶口的最終權益擁有人是 If yes, details of the ultimate beneficial owner(s) is/are</p> <p>名稱 Name _____</p> <p>身份證/護照號碼 ID/Passport No._____</p> <p>地址 Address_____</p>	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
<p>客戶是否在其他持牌法團/註冊機構擁有戶口？</p> <p>Do you have accounts with other licensed corporation or registered institution?</p> <p>如是 If yes, 持牌法團/註冊機構名稱 Name of licensed corporation or registered institution_____</p> <p>戶口類別 A/C Type : <input type="checkbox"/> 現金 Cash <input type="checkbox"/> 保證金 Margin <input type="checkbox"/> 股票期權 Stock Options <input type="checkbox"/> 期貨 Futures <input type="checkbox"/> 網上交易帳戶 Internet</p>	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No
<p>客戶或客戶之任何董事、股東、或授權人士之關聯人士 包括其配偶、子女或父母是否擔任重要公職，包括國家元首、政府首長、司法或軍事官員、國有企業高級行政人員及重要政黨幹事？</p> <p>Is any director, shareholder or authorized person of the client or any of the related person (including spouse, child, or parent) of any director, shareholder or authorized person of the client has been entrusted with a prominent public function, which includes a head of state, head of government, judicial or military official, senior executive of a state-owned corporation and an important political party official?</p>	<input type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No

F.**客戶確認及簽署 Acknowledgement and Execution by Client**

吾等(在下面簽署的客戶)確認創市証券有限公司(下稱“創市”)已按吾等選擇的語言(英文或中文)提供了客戶協議書及風險披露聲明之副本。吾等(在下面簽署的客戶)謹此聲明在本開戶表格所提供之資料全部為真實、完整及正確,除非創市接到更改有關本開戶表格內容之書面通知,否則創市有權完全依賴此等資料及聲明作一切用途。創市或其代理獲授權可隨時就核對本開戶表格資料事宜,與任何人包括本人吾等之銀行、經紀或任何信用機構進行諮詢。吾等(在下面簽署的客戶)現申請開立吾等在本開戶表格頁首選擇之帳戶及服務類別。本人/吾等確認已閱讀並明白附上之創市証券有限公司 客戶協議書〈該協議書〉的所有有關係款並且接受及同意受可不時被修改的該協議書之條款所約束,吾等在此以書面通知、及確認並授權創市 行使在該協議書內的全部常設授權。吾等(在下面簽署的客戶)進一步確認創市已經邀請吾等閱讀風險披露聲明,提出問題及徵求獨立的意見(如吾等有此意願)。吾等(在下面簽署的客戶)已仔細閱讀、完全理解並同意接受及遵守客戶協議書內之個人資料收集聲明。

We, the undersigned client(s) hereby confirm that We have been provided the Client Agreement (“the Agreement”) of VMI Securities Limited (“VMI”) and the Risk Disclosure Statement in a language of my/our choice(receipt of a copy whereof is hereby acknowledged by me/us).

I/We, the undersigned client(s) hereby confirm and represent that the information on this Account Opening Form is true, complete and correct.VMI is entitled to rely fully on such information and representations for all purposes, unless VMI receives notice in writing of any change. VMI or any of its agents is hereby authorized at any time to contact anyone, including my/our banks or any credit agency, for the purpose of verifying the information provided on this Account OpeningForm.

We, the undersigned client(s) hereby apply to open the types of account(s) and service(s) which We choose on the front page of this Account Opening Form and confirm that I/We have read and understand the relevant provisions of the attached Client Agreement and accept and agree to be bound by the Agreement as the same may be amended from time to time, and We hereby give you notice in writing that I/weconfirm and authorize VMI to exercise all the powers of the Standing Authorities under the Client Agreement.

We, the undersigned client(s) further acknowledge and confirm that We have been invited by VMI to read the Risk Disclosure Statement, ask questions and take independent advice, if We wish.

We, the undersigned client(s) have carefully read, fully understood and agreed to accept and be bound by the Personal Information Collection Statement of the Agreement.

Date 日期: _____年 YY_____月 MM_____日 DD

客戶簽署 Signed by Client	公司印章 Company Chop
授權代表名稱 Name of Authorised Person	
職位 Position	

G.**見證客戶簽署及身份證明文件驗證〈如適用〉****Certification of Client Signature and Identity Proof (if applicable)**

若客戶/聯名客戶並非在創市証券有限公司之指定僱員面前簽立本開戶表格或本開戶表格並非連同恰當的支票+一併遞交，則以下部分應由指定人士，包括其他證監會持牌人或註冊人、太平紳士或專業人士例如銀行分行經理、執業會計師、律師或公證人，簽署驗證。而該指定人士需提供其已簽署之身份證明文件副本及專業資格證明文件之副本。

If this Account Opening Form is not executed in front of VMI Securities Limited's SFC licenced employee or is not submitted with an appropriate cheque+, a specified person, including any SFC licensed or registered person, a Justice of Peace, a Branch Manager of a bank, Certified Public Accountant or Notary Public, should be required to sign below. The specified person should provide us with self-certified ID copy and copy of the professional qualification documents.

下述簽署人士謹此驗證上述客戶/聯名客戶簽立此文件(連同客戶協議書)及其有關的身份證明文件：

The undersigned person hereby certify the signing of this Account Opening Form (together with the Client Agreement) by the above Client(s) and sighting of related identity documents of such Client(s)

Date 日期：_____年 YY_____月 MM_____日 DD

簽署及驗證 Signed and Certified by

姓名 Name	所屬專業及職銜 Profession /Title
聯絡電話 Contact No	聯絡地址 Address

+客戶在香港的持牌銀行開立的帳戶並由客戶所簽發(該簽名須與此開戶表上的客戶簽名相符)並載有客戶在其身份證明文件上所顯示的姓名的劃線支票，而該支票抬頭人須為“創市証券有限公司”及其 數額不得少於 10,000 港元。客戶被批核的新帳戶必須待支票兌現後才可使用。A crossed cheque bearing your name shown in your identity document and drawn on your account with a licensed bank in Hong Kong with your same signature(s) as shown on this Form in favour of "VMI Securities Limited" for not less than HKD10,000. Your approved new account will not be activated until the cheque is cleared.

H. 職員聲明 Declaration by Staff

本人，以註冊人身份，確認本人已按照上述客戶所選擇的語言提供風險披露聲明之副本及邀請客戶閱讀該風險披露聲明、提出問題及徵求獨立意見(如客戶有此意願)。

I, a registered person, declare that I have provided the above client with a copy of the Risk Disclosure Statement in a language of the Client's choice and invited the client to read the Risk Disclosure Statement, ask questions and take independent advice if the client so wishes.

以上客戶簽署乃於本人面前簽立。**The above Client signature(s) was/were made in my presence.**

Date 日期：_____年 YY_____月 MM_____日 DD

職員簽署 Signed by Staff	職員姓名 Name of Staff
	中央編號 CE Number

**只供公司內部
使用**

核對清單		客戶介紹人	戶口號碼		
<input type="checkbox"/> 客戶年滿 18 歲 <input type="checkbox"/> 身份證/護照副本 <input type="checkbox"/> 最近三月附客戶姓名之住址證 <input type="checkbox"/> 核實簽署之支票 (如需要) <input type="checkbox"/> 保留支票副本 <input type="checkbox"/> 香港持牌銀行支票 <input type="checkbox"/> 抬頭人為創市證券有限公司 <input type="checkbox"/> 銀碼不少於 HK\$10,000 <input type="checkbox"/> 支票上之客戶名稱與本表格相符 <input type="checkbox"/> 支票上之客戶簽署與本表格相符 <input type="checkbox"/> W8BEN 表格 (美股交易適用) <input type="checkbox"/> 投資者分類確認回條 (衍生產品)		經紀 編號 _____ 與經紀認識 _____ 年			
		佣金收費			
		<input type="checkbox"/> 經簽署作實之公司文件副本 <input type="checkbox"/> 通過在創市證券有限公司及 派獲授權人士操作戶口的 董事會決議案 <input type="checkbox"/> 公司註冊證書 <input type="checkbox"/> 商業登記證書 <input type="checkbox"/> 公司組織章程及細則 <input type="checkbox"/> 最近之財政報告 <input type="checkbox"/> 所有董事及授權人士之身份證 <input type="checkbox"/> 重覆帳戶/黑名單帳戶 <input type="checkbox"/> 其他：_____	港股 HK\$	美股 US\$	股票期權 HK\$
		普通 %	普通 %	普通 %	
		Min\$	Min\$	Min\$	
		即日 %	即日 %	網上 %	
		Min\$	Min\$	Min\$	
		網上 %	網上 %		
		Min\$	Min\$		
		佣金代號	佣金代號	佣金代號	
信貸限額 HK\$		US\$			
客戶號碼					
開戶批核	密碼發送(港股)	密碼發送(股票期權)	密碼發送(美股)		
_____	_____	_____	_____		
日期_____年_____月_____日	_____年_____月_____日	_____年_____月_____日	_____年_____月_____日		
資料輸入	資料核對	備註			
_____	_____	_____			